



UNITED STATES PATENT AND TRADEMARK OFFICE

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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/804,492	03/12/2001	Shoichi Takasuka	10873.687US01

CONFIRMATION NO. 1253

23552
MERCHANT & GOULD
P O BOX 2903
MINNEAPOLIS, MN 55402-0903

FORMALITIES LETTER



OC000000006091991

07/09/2001 SDIRETA1 00000056 09804492

01 FC:101 710.00 DP
01 FC:103 130.00 DP
03 FD:139 130.00 DP

Date Mailed: 05/18/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

Rec'd by Date: 07/09/2001 SDIRETA1 0010184500
Date: 05/18/2001 Name/Number: 09804492
Filing Date: 05/18/2001

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- **The balance due by applicant is \$ 840.**

07/10/2001 SDIRETA1 00000003 132725 09804492

01 FC:103 36.00 CH

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

85-3
#3

Applicant: Takasuka et al. Examiner: Unknown
Serial No.: 09/804,492 Group Art Unit: Unknown
Filed: March 12, 2001 Docket: 10873.687US01
Notice of N/A Batch No.: N/A
Allow. Date:
Due Date: July 18, 2001
Title: OPTICAL HEAD DEVICE AND OPTICAL RECORDING AND REPRODUCING APPARATUS

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence and the paper, as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on July 2, 2001.

By: *Jennifer Carlson*
Jennifer Carlson

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

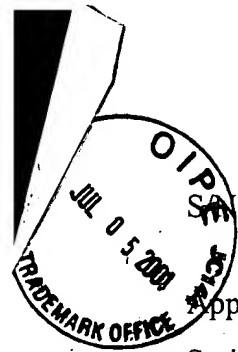
- Transmittal Sheet in duplicate containing Certificate of Mailing
- Signed Combined Declaration and Power of Attorney
- Information Disclosure Statement, Form 1449, 1 Reference(s)
- Check(s) in the amount of \$746.00 for Filing fee, \$130.00 for Missing Parts fee and \$130.00 for filing an English translation of foreign specification
- Other: Communication re: submission of missing parts, copy of notice to file missing parts, Verified English translation of foreign specification, English translation of specification consisting of: 19 pages of Specification, 22 claims, 2 independent claims, 1 pages of abstract and 12 sheets of formal drawings
- Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.
P.O. Box 2903, Minneapolis, MN 55402-0903
612.332.5300

By: *DPM*
Name: Douglas P. Mueller
Reg. No.: 30,300
DPMueller/jlc





09/804492

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Takasuka et al. Examiner: Unknown
Serial No.: 09/804492 Group Art Unit: Unknown
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By: Jennifer Carlson
Name: Jennifer Carlson

SUBMISSION OF MISSING PARTS

BOX MISSING PARTS
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In connection with the above-identified application, enclosed please find the originally signed Combined Declaration and Power of Attorney. Also enclosed is our check in the amount of \$130.00 to cover the Missing Parts completion fee, as well as \$746.00 for the filing fee which was deferred at filing. Also enclosed is our check in the amount of \$130.00 for the filing of the English translation of the foreign specification.

Respectfully submitted,

MERCHANT & GOULD P.C.
P.O. Box 2903
Minneapolis, Minnesota 55402-0903
(612) 332-5300

By _____


Douglas P. Mueller
Reg. No. 30,300

Dated: July 2, 2001

DPM/jlc